

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Dharmaraj Ramachandra Rao, <i>et al.</i>	§	
		§	Group Art Unit: 1614
Serial No.:	10/577,714	§	
		§	Examiner: Not assigned
Filed:	May 2, 2006	§	
		§	Confirmation No.: 5756
For:	PROCESS FOR THE PREPARATION OF	§	
	POLYMORPHS OF SELECTIVE SEROTONIN	§	
	REUPTAKE INHIBITOR	§	

CERTIFICATE OF FILING

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §1.8, I hereby certify that this correspondence is being electronically submitted to the U.S. Patent and Trademark Office website, www.uspto.gov, on 12/18/2006.

Edith Shek
Edith Shek

INFORMATION DISCLOSURE STATEMENT

Commissioner:

This Information Disclosure Statement, including completed Forms PTO/SB/08A&B, comprises a list of pertinent art of which Applicants are aware. In accordance with 37 C.F.R. 1.98, no paper copies of the U.S. Patents and patent applications are enclosed. Copies of the foreign documents listed on Forms PTO/SB/08A&B are enclosed herewith.

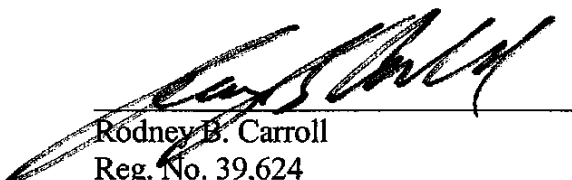
The submission of this Information Disclosure Statement and Forms PTO/SB/08A&B is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that a search has been conducted or that no better art exists. Applicants reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and that situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. §§ 102 or 103.

Applicants believe that no fee is due for the filing of this Information Disclosure Statement. Nonetheless, in the event that a fee is due, please charge it to Deposit Account No. 50-1515 of Conley Rose, P.C.

Respectfully submitted,

CONLEY ROSE, P.C.

Date: 12-18-06


Rodney B. Carroll
Reg. No. 39,624

5700 Granite Parkway, Suite 330
Plano, Texas 75024
(972) 731-2288
(972) 731-2289 Facsimile

ATTORNEY FOR APPLICANTS

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/577,714
Filing Date	May 2, 2006
First Named Inventor	Dharmaraj Ramachandra Rao
Art Unit	1614
Examiner Name	N/A
Attorney Docket Number	PAC/eehc/23398US (4137-00900)

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 4,536,518	08/20/1985	Welch, Jr. et al.	
	AB	US- 5,248,699	09/28/1993	Sysko, et al.	
	AC	US- 5,734,083	03/31/1998	Wilson, et al.	
	AD	US- 6,452,054 B2	09/17/2002	Aronhime, et al.	
	AE	US- 6,495,721 B1	12/17/2002	Schwartz, et al.	
	AF	US- 6,500,987 B1	12/31/2002	Schwartz, et al.	
	AG	US- 6,517,866 B1	02/11/2003	Am Ende, et al.	
	AH	US- 6,600,073 B1	07/29/2003	Schwartz, et al.	
	AI	US- 6,858,652 B2	02/22/2005	Aronhime, et al.	
	AJ	US- 2002/0183555 A1	12/05/2002	Schwartz, et al.	
	AK	US- 2003/0055112 A1	03/20/2003	Schwartz, et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO 01/32601 A1	05/10/2001	CIBA Specialty Chemicals Holding		
	BB	WO 01/45692 A1	06/28/2001	TEVA Pharmaceutical Industries Ltd		
	BC	WO 03/093217 A1	11/13/2003	TEVA Pharmaceutical Industries Ltd		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/577,714
				Filing Date	May 2, 2006
				First Named Inventor	Dharmaraj Ramachandra Rao
				Art Unit	1614
				Examiner Name	N/A
Sheet	2	of	2	Attorney Docket Number	PAC/eehc/23398US (4137-00900)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	International Search Report and Written Opinion, PCT/GB2004/004672, May 17, 2005, 14 pgs.	
	CB	International Preliminary Report on Patentability, PCT/GB2004/004672, May 17, 2005, 11 pgs.	
	CC	HALEBLIAN, JOHN K., et al., "Characterization of Habits and Crystalline Modification of Solids and Their Pharmaceutical Applications," Journal of Pharmaceutical Sciences, Vol. 64. No. 8, August 1975, pgs. 1269-1288.	
	CD	ALMARSSON, ÖRN, et al., "High-Throughput Surveys of Crystal Form Diversity of Highly Polymorphic Pharmaceutical Compounds," XP-002295000, American Chemical Society, Crystal Growth & Design, Vol. 3, No. 6, 2003, pgs. 927-933.	
	CE	REMENAR, JULIUS F., et al., "Salt Selection and Simultaneous Polymorphism Assessment via High-Throughput Crystallization: The Case of Sertraline," American Chemical Society, Organic Process Research & Development, Vol. 7, No. 6, 2003, pgs. 990-996.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.